

Report from the Audit Committee

Trust Board	Item: 17
Date: 5th June 2019	Enclosure: M
Purpose of the Report: To report to the Trust Board on the meeting of the Audit Committee held on 23 rd May 2019.	
FOR: Information <input checked="" type="checkbox"/> Assurance <input checked="" type="checkbox"/> Discussion and input <input type="checkbox"/> Decision/approval <input type="checkbox"/>	
Sponsor (Executive Lead):	Tracey Cotterill, Interim Director of Finance
Author:	Susan Simpson, Director of Corporate Governance
Author Contact Details:	Susan.simpson19@nhs.net 020 934 2522
Risk Implications – Link to Assurance Framework or Corporate Risk Register:	
Link to Relevant Corporate Objective:	Strategic Objective 4
Document Previously Considered By:	None
Link to Relevant CQC Domain: Safe <input type="checkbox"/> Effective <input checked="" type="checkbox"/> Caring <input type="checkbox"/> Responsive <input type="checkbox"/> Well Led <input checked="" type="checkbox"/>	
Recommendations: The Board is asked to note the content of the report.	

Report from the Audit Committee

The Audit Committee met on 23rd May 2019 and the meeting was attended by the internal and external auditors.

Counter Fraud: The Committee received a progress report, the completed 2018/19 self-review toolkit and the operational plan for 2019/20. Management was asked to check that staff working for Kingston Hospital Charity and the Private Patients Unit are included within requirements for counter fraud training.

Internal Audit: Reports were received on Cluster Performance Framework and Core Financial Systems. Both reports had assurance ratings of significant assurance with minor improvement opportunities and this was also confirmed as the final Head of Internal Audit opinion overall in relation to the Annual Report 2018/19. The internal audit plan for 2019/20 was approved and the Committee reviewed the recommendation tracker showing progress on recommendations from earlier reports.

External Audit: The Committee received a number of reports to enable the Annual Report & Accounts for 2018/19 to be approved. Discussion included the auditor's opinion on the Quality Report that had been approved by QAC for the Trust Board. The external auditors gave a clean audit opinion and thanks were expressed to the teams that had prepared the Annual Report & Accounts.

Annual Report & Accounts 2018/19: Approved on behalf of the Trust Board.

Assurance on management of fire risk: The Committee received good assurance on completion of the programme focused on the highest risk areas. The remaining works are expected to last for two more years.

Trust Risk Register: The Committee reviewed the register dated 1st May 2019, together with a verbal update on the work carried out by the Risk Management Committee meeting held on 22nd May 2019 to ensure appropriate mitigations are in place and under way to ensure patient and staff safety

Debt write off/use of waivers/Losses and Special Payments/Goods Received Not Invoiced/Out of Date Policies/External Agency Reviews: reports on these matters were received and content noted.

There were no matters to discuss with the auditors in private session of the Committee.