

### Report from the Audit Committee

<b>Trust Board</b>	<b>Item: 19</b>
<b>Date: 11<sup>th</sup> July 2018</b>	<b>Enclosure: 0</b>
<b>Purpose of the Report:</b> To report to the Trust Board on the meeting of the Audit Committee held on 17 <sup>th</sup> May 2018.	
<b>FOR: Information</b> <input checked="" type="checkbox"/> <b>Assurance</b> <input checked="" type="checkbox"/> <b>Discussion and input</b> <input type="checkbox"/> <b>Decision/approval</b> <input type="checkbox"/>	
<b>Sponsor (Executive Lead):</b>	Joan Mulcahy, Chair of Audit Committee
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<b>Risk Implications – Link to Assurance Framework or Corporate Risk Register:</b>	
<b>Link to Relevant Corporate Objective:</b>	Strategic Objective 4
<b>Document Previously Considered By:</b>	None
<b>Link to Relevant CQC Domain:</b> Safe <input type="checkbox"/> Effective <input checked="" type="checkbox"/> Caring <input type="checkbox"/> Responsive <input type="checkbox"/> Well Led <input checked="" type="checkbox"/>	
<b>Recommendations:</b>  The Board is asked to note the report.	

## Report from the Audit Committee

The Audit Committee met on 17<sup>th</sup> May 2018 and the meeting was attended by the internal and external auditors.

**Counter Fraud:** The Committee received a progress report. There were two new referrals and two continuing cases.

**Internal Audit:** Progress on implementing recommendations was discussed. The Executive Management Committee would review allocation of actions. Internal Audit reports were received on Overseas Visitors and Serious Incidents. Support for clinical staff in managing the financial processes for Overseas Visitors was to be revised. The Head of Internal Audit's annual opinion for 2017/18 was received prior to consideration of the Annual Report and Accounts and there were no issues to bring to the attention of the Board. The Internal Audit plan for 2018/19 was agreed.

**External Audit:** The auditors presented their audit findings. The Finance team were commended for their efficiency and effectiveness.

**Annual Report and Accounts:** The Committee recommended the Annual Report and Accounts, including the Quality Report, for 2017/18 to the Finance & Investment Committee for approval on behalf of the Board.

**Learning from deaths:** Dr Harris had reviewed the implementation of new processes and presented her report. She had been impressed by the extent of staff training and robustness of multi-disciplinary reviews. The Mortality Group was effectively overseeing the Trust wide process and further work was taking place on developing shared learning and family liaison.

**Board Assurance Framework and Corporate Risk Register:** The Committee asked that consideration be given to the presentation of the CRR to help understand the impact of mitigation and any risk trends. A discussion took place on the correlation between the Internal Audit Plan and the Corporate Risk Register. The Internal Auditors recommended that the Board/ EMC should consider regularly whether there are any risks that are not be on the CRR.

**Clinical Audit:** A quarterly report and the annual plan for 2018/19 were presented and the process for following up on red rated clinical audits was explored. There was a discussion on aspiring to achieve above national benchmarks and being able to demonstrate the Trust is continuously learning and improving.

**GDPR readiness:** assurance was received ahead of the introduction of new regulations from 25<sup>th</sup> May 2018. The Committee discussed associated risks and training for staff on the new requirements. Further guidance was requested in relation to private patients.

**Bad debts:** this report had been requested by the Committee and would now be a regular item. The Committee discussed authorisation procedures.

**Use of waivers/Losses and Special Payments/Out of Date Policies/External Agency Reviews:** reports on these matters were received for information.

**Quality Assurance Committee Update:** Dr Harris reported on good assurance received on paediatric oncology. The next meeting would look at feedback from the MADE event and the Frailty team. The Committee had begun to think about quality of data and statistical processes. Progress against national 'must do' clinical audits and Quality Report priorities would be regular items. A deep dive had been requested on saving babies lives and maternity standards.

**Finance & Investment Committee Update:** the Director of Finance explained that the focus had been on planning for the current year and discussions around the control total. FIC would be tracking the FIP programme very closely.

**Workplan:** The COO would be invited to attend to report on Business Continuity, EPRR and Disaster Recovery.

There were no matters to discuss with the auditors in private session of the Committee.

**Joan Mulcahy, Chairman**