

Report from the Audit Committee

Trust Board	Item: 19
Date: 28th September 2016	Enclosure: P
Purpose of the Report: To report to the Trust Board on the meeting of the Audit Committee held on 28 th September 2016.	
FOR: Information <input checked="" type="checkbox"/> Assurance <input type="checkbox"/> Discussion and input <input type="checkbox"/> Decision/approval <input type="checkbox"/>	
Sponsor (Executive Lead):	Joan Mulcahy, Chair of Audit Committee
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Risk Implications – Link to Assurance Framework or Corporate Risk Register:	
Link to Relevant Corporate Objective:	Strategic Objective 4
Document Previously Considered By:	None
Link to Relevant CQC Domain: Safe <input type="checkbox"/> Effective <input checked="" type="checkbox"/> Caring <input type="checkbox"/> Responsive <input type="checkbox"/> Well Led <input checked="" type="checkbox"/>	
Recommendations & Actions required by the Committee: The Board is asked to note the report.	

Audit Committee

The Committee met on 8th September 2016.

The Committee received a report on Clinical Audit from Quarter 1 of 2016/17. The Committee discussed the follow up of issues arising from audits which would be followed up outside of the meeting. Some would be referred to the Quality Assurance Committee and others followed up and reported to the next meeting of the Committee. A discussion took place on the oversight of some areas of the Trusts operations which may not be covered by an independent review. The Committee asked for an update at the next meeting on how all areas are subject to independent oversight.

The Committee considered the Counter Fraud Progress Report and noted updates on recent cases which had been considered since the last meeting of the Committee. The Counter Fraud Plan for 2016/17 was agreed.

The update from Internal Audit was noted. The post implementation review on Service Line Management and the internal audit report on Cyber Security which had a rating of partial assurance with improvements required. The Committee noted that the recommendations were not dissimilar to other trusts where the review had been undertaken. The Committee noted the action plan. It was noted that the remaining internal audit reviews for 2016/17 were on track.

The Committee received an update from the External Auditors, it was noted that the audit of the Charitable Fund accounts would be reported by the end of the year. Pre audit testing would also be undertaken in advance of the year end.

The Committee considered and approved the Policy on Trust Wide Procedural Documents. The Raising Concerns (Whistleblowing) Policy was reviewed in advance of the policy being presented to the Board.

The Committee received the standing item reports on the Board Assurance Framework, external agency visits, waivers and losses and special payments and received reports from the Quality Assurance, and Finance and Investment Committees. The Committee considered the Corporate Risk Register which now incorporated all risks from across the Trust which were scored over 12 in addition to those considered as corporate. The Committee asked that more detail be provided on the nature of the high level risks. It was suggested that consideration be given to the inclusion of a risk on the STF.

Recommendation

The Board is asked to **note** the report.

Joan Mulcahy, Chairman