

### NHS Improvement (Monitor) Submissions

<b>Trust Board</b>	<b>Item: 19</b>
<b>27<sup>th</sup> July 2016</b>	<b>Enclosure: N</b>
<b>Purpose of the Report / Paper:</b> To approve the M3 Governance return to be made to NHS Improvement by 29 <sup>th</sup> July 2016.	
<b>FOR: Information</b> <input checked="" type="checkbox"/> <b>Assurance</b> <input checked="" type="checkbox"/> <b>Discussion and input</b> <input type="checkbox"/> <b>Decision/approval</b> <input checked="" type="checkbox"/>	
<b>Sponsor (Executive Lead):</b>	Susan Simpson, Company Secretary & Head of Corporate Affairs
<b>Author:</b>	Susan Simpson, Company Secretary & Head of Corporate Affairs with input from the Finance and BI teams
<b>Author Contact Details:</b>	<a href="mailto:Susan.Simpson@kingstonhospital.nhs.uk">Susan.Simpson@kingstonhospital.nhs.uk</a>
<b>Risk Implications - Link to Assurance Framework or Corporate Risk Register:</b>	Corporate Objective 1.1: To comply with Care Quality Commission and Monitor requirements to maintain licence to practice
<b>Legal / Regulatory / Reputation Implications:</b>	Potential for regulatory implications due to shortfall in performance.
<b>Link to Relevant CQC Domain:</b> <b>Safe</b> <input checked="" type="checkbox"/> <b>Effective</b> <input checked="" type="checkbox"/> <b>Caring</b> <input type="checkbox"/> <b>Responsive</b> <input type="checkbox"/> <b>Well Led</b> <input checked="" type="checkbox"/>	
<b>Link to Relevant Corporate Objective:</b>	Strategic Objective 1 and 4:
Strategic Objective 1 - To ensure that all care is rated amongst the top 20% nationally for patient safety, clinical outcomes and patient experience.	
Strategic Objective 4. To deliver sustainable, well managed, value for money services	
<b>Document Previously Considered By:</b>	N/A
<b>Recommendations:</b>	
The Board is asked to approve the M3 Governance return as described.	

## **Executive Summary**

For 2016/17 M3 NHS Improvement has divided the content of previous returns so that there are now two separate returns, one for finance and one for governance. The Governance return retains the Board declarations, which also cover the financial sustainability risk rating and capital expenditure predictions.

### Governance Indicators

The governance rating calculated from the returns made to NHS Improvement indicates the degree of concern under the current Risk Assurance Framework about how the Trust is run and identifies any concerns being investigated or action being taken. The Trust is currently rated as Green, indicating 'no evident concerns'.

Of the suite of healthcare indicators and targets to be achieved, the Trust will declare the targets not met in Q1 for 'A&E Clinical Quality - Total Time in A&E under 4 hours'. Overall performance for this target in Q1 was 93%. An Emergency Care Programme Board (ECPB) chaired by the Chief Operating Officer, and reporting to the Executive Management Committee, has been established to lead the development of emergency care services to ensure improved patient care and experience, as well as the implementation of the programme of work required to manage the delivery of the performance trajectory to meet the emergency standard sustainably.

All other healthcare indicators and targets will be declared as having been met in Q1 (cancer targets awaiting validation of June data). The overall result from the CQC inspection in January 2016 of 'requires improvement' will be recorded amongst the healthcare indicators.

With regard to organisational health indicators, in Q1 the group of six Executive (voting) Directors in post remained stable with no resignations to report and no interims in post.

### Declarations

The Board will confirm that it anticipates plans are sufficient to ensure ongoing compliance with all existing targets and the achievement of capital expenditure plans.

The Financial Sustainability Risk Rating (FSRR) in the Annual Plan submitted to Monitor in April 2016 showed an FSRR of at least 2 during the year. The delivery of the financial plan, and thus the FSRR, is dependent upon a number of factors including receipt of STF funding and payment by commissioners for the activity undertaken. A full list of assumptions was stated in the Annual Plan submission. The Board is therefore unable to confirm that an FSRR of at least 3 will be maintained for the year but this is consistent with previous declarations.