

**Board Assurance Framework 2016/17**

<b>Trust Board</b>	<b>Item: 20</b>
<b>25<sup>th</sup> May 2016</b>	<b>Enclosure: P</b>
<b>Purpose of the Report:</b> To provide the Trust Board with the Board Assurance Framework for month 1 of 2016/17	
<b>FOR: Information</b> <input type="checkbox"/> <b>Assurance</b> <input checked="" type="checkbox"/> <b>Discussion and input</b> <input checked="" type="checkbox"/> <b>Decision/approval</b> <input type="checkbox"/>	
<b>Sponsor (Executive Lead):</b>	Susan Simpson, Company Secretary
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<b>Risk Implications – Link to Assurance Framework or Corporate Risk Register:</b>	The report links key risks from the Corporate Risk Register to the delivery of the corporate objectives
<b>Legal / Regulatory / Reputation Implications:</b>	N/A
<b>Link to Relevant CQC Domain:</b> <b>Safe</b> <input checked="" type="checkbox"/> <b>Effective</b> <input checked="" type="checkbox"/> <b>Caring</b> <input type="checkbox"/> <b>Responsive</b> <input type="checkbox"/> <b>Well Led</b> <input checked="" type="checkbox"/>	
<b>Link to Relevant Corporate Objective:</b>	All
<b>Document Previously Considered By:</b>	Audit Committee - 19 <sup>th</sup> May 2016
<b>Recommendation &amp; Action required by the Trust Board :</b>  The Board is asked to:  a) Review the BAF for month 1 attached in the appendices; and b) Consider if the BAF provides appropriate assurance, in that it identifies the risks, controls and assurance needed to allow for the achievement of the Trust's principal objectives.	

## 1. Board Assurance Framework

- 1.1 In preparing the Board Assurance Framework (BAF) for 2016/17 the approach has followed on from last year with a focus on the assurance of the achievement of objectives. The Board Assurance Framework allows the Board to monitor progress with achievement of the corporate objectives, and performance measures, under each of the 4 Strategic Objectives.
- 1.2 The content of this report has been discussed with each of the Executive leads and was considered by the Audit Committee on 19<sup>th</sup> May 2016.
- 1.3 Exception reports are provided for any objective RAG rated amber or red and these are provided in the summary in Appendix 1. Appendix 2 provides the detail behind the summary report.

## 2. Conclusions

- 2.1 At this early stage in the year, the majority of the corporate objectives are on track as would be expected. The one area marked amber reflects the fact that the Trust's operating environment is volatile and the outcome is subject to agreement of the STP in July 2016. Some further development on performance measures is needed for the few areas that are not marked with a RAG rating and this will be in place for the next report.
- 2.2 The revised Risk Management Strategy, implemented in Q4 and effective from 1<sup>st</sup> April 2016 is now showing all risks rated 12+ from individual service line and corporate risk registers on the Corporate Risk Register. This has resulted in the report showing a greater number of risks than at the last meeting, but this is due to the change in format rather than an actual increase.
- 2.3 Themes arising from the individual risks reported can be summarised as:
  - Ability to recruit sufficient staff to meet quality and capacity needs
  - Familiarisation with CRS system
  - Falls and pressure ulcers
  - Estates capacity
  - Equipment upgrades and replacement

## 3. Recommendation

The Trust Board is asked to:

- a) Review the BAF for month 1 attached in the appendices; and
- b) Consider if the BAF provides appropriate assurance, in that it identifies the risks, controls and assurance needed to allow for the achievement of the Trust's principal objectives.