

### NHS Improvement Submissions

<b>Trust Board</b>	<b>Item: 21</b>
<b>25<sup>th</sup> May 2016</b>	<b>Enclosure: Q</b>
<b>Purpose of the Report / Paper:</b> To note the content of Q4 submission made to NHS Improvement in April 2016 and declarations to be made by 31 <sup>st</sup> May 2016 as required by General Condition 6 of the NHS Provider Licence.	
<b>FOR: Information</b> <input checked="" type="checkbox"/> <b>Assurance</b> <input checked="" type="checkbox"/> <b>Discussion and input</b> <input type="checkbox"/> <b>Decision/approval</b> <input checked="" type="checkbox"/>	
<b>Sponsor (Executive Lead):</b>	Susan Simpson, Company Secretary & Head of Corporate Affairs
<b>Author:</b>	Susan Simpson, Company Secretary & Head of Corporate Affairs with input from the Finance and BI teams
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<b>Risk Implications - Link to Assurance Framework or Corporate Risk Register:</b>	Corporate Objective 1.1: To comply with Care Quality Commission and Monitor requirements to maintain licence to practice
<b>Legal / Regulatory / Reputation Implications:</b>	Potential for regulatory implications due to shortfall in performance.
<b>Link to Relevant CQC Domain:</b> <b>Safe</b> <input checked="" type="checkbox"/> <b>Effective</b> <input checked="" type="checkbox"/> <b>Caring</b> <input type="checkbox"/> <b>Responsive</b> <input type="checkbox"/> <b>Well Led</b> <input checked="" type="checkbox"/>	
<b>Link to Relevant Corporate Objective:</b>	Strategic Objective 1 and 4:
Strategic Objective 1 - To ensure that all care is rated amongst the top 20% nationally for patient safety, clinical outcomes and patient experience.	
Strategic Objective 4. To deliver sustainable, well managed, value for money services	
<b>Document Previously Considered By:</b>	N/A
<b>Recommendations:</b>	
The Board is asked to note the submission of the required returns to NHS Improvement described in this report.	

## **Executive Summary**

Approval of the Q4 return to NHS Improvement was delegated to the Finance & Investment Committee by the Trust Board on 30th March 2016. This was based on the performance elements described below and M12 finance data.

### Governance

The governance rating indicates the degree of concern under the Risk Assurance Framework about how the Trust is run and identifies any concerns being investigated or action being taken. The Trust is currently rated as Green, indicating 'no evident concerns'.

Of the suite of healthcare indicators and targets to be achieved, the Trust declared targets not met for 'A&E Clinical Quality - Total Time in A&E under 4 hours' and 'Cancer 31 day wait for second or subsequent treatment - surgery'. It was reported to the last Trust Board meeting that February was a difficult month with a number of days below 90%; the start of Norovirus on the wards played some part in restricting flow and bed availability. The Faster Flow, Safer Care programme continues, with a number of work streams from arrival to discharge. Cancer continues to maintain performance across all of the indicators except 31 days where the numbers are low and one breach is enough to miss the target. The Cancer Board looks very closely at all elements of treatment and the services are in constant contact with tertiary centres to ensure that patients are tracked effectively.

With regard to organisational health indicators, in Q4 the group of six Executive (voting) Directors in post remained stable with no resignations or appointments to report. Two of these posts were recorded as being interim appointments in that quarter.

The Q4 submission confirmed that the Board was satisfied that plans in place are sufficient to ensure ongoing compliance with all existing targets (after the application of thresholds) as set out in Appendix A of the Risk Assessment Framework; and its commitment to comply with all known targets going forward.

### General condition 6 of the NHS Provider Licence

The declaration required by 31<sup>st</sup> May 2016 confirms the Board's satisfaction that in 2015/16 the Trust took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and had regard to the NHS Constitution. The Board also declares that the Trust continues to meet the criteria for holding a licence.

A self-assessment review has taken place against each of the licence conditions to identify action taken to ensure the conditions are met and to note sources of assurance that support the Board in making this declaration.