

### Audit Committee Report

<b>Trust Board</b>	<b>Item: 23</b>
<b>Date: 27<sup>th</sup> January 2016</b>	<b>Enclosure: S</b>
<b>Purpose of the Report:</b> To report to the Trust Board on the meeting of the Audit Committee held on 10 <sup>th</sup> December 2015.	
<b>FOR: Information</b> <input checked="" type="checkbox"/> <b>Assurance</b> <input type="checkbox"/> <b>Discussion and input</b> <input type="checkbox"/> <b>Decision/approval</b> <input type="checkbox"/>	
<b>Sponsor (Executive Lead):</b>	Joan Mulcahy, Chair of Audit Committee
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<b>Risk Implications – Link to Assurance Framework or Corporate Risk Register:</b>	
<b>Link to Relevant Corporate Objective:</b>	Strategic Objective 4
<b>Document Previously Considered By:</b>	None
<b>Link to Relevant CQC Domain:</b> <b>Safe</b> <input type="checkbox"/> <b>Effective</b> <input checked="" type="checkbox"/> <b>Caring</b> <input type="checkbox"/> <b>Responsive</b> <input type="checkbox"/> <b>Well Led</b> <input checked="" type="checkbox"/>	
<b>Recommendations &amp; Actions required by the Committee:</b>  The Board is asked to note the report.	

## **Audit Committee**

The Committee met on December 10<sup>th</sup> 2015.

The Committee considered the Counter Fraud progress report. It was noted that work would be undertaken to further engage with clinicians and the Workforce Directorate on Counter Fraud. It was noted that the legionella case had been closed.

The update from Internal Audit was noted including the delay in the completion of the Financial Reporting internal audit which will be reported in March 2016. The Committee received the final report on the Governor Dashboard audit which was presented to the Council of Governors the previous day. The Core Financial Systems Audit was also considered, both audits had received the rating of significant assurance with minor improvement opportunities.

The Committee received reports on Clinical Audit from Quarter 2 of 2015/16. The Committee discussed the processes for escalating and capturing changes and modifications to the electronic record system. It was suggested that there may need to be a specific initiative to address this and the issue of comprehensive documentation.

The Committee noted the report from External Audit. It was noted that early testing on year end would commence at month 10. The Committee discussed the completion of the Going Concern declaration which would be an issue for all Trusts in deficit. It was noted that further advice on this would be sought at the appropriate time. The Committee nominated Michael Jennings as the lead Non-Executive for the production of the Annual Report. The draft plan was noted and a comprehensive plan would be circulated shortly.

The Committee considered a report on estates strategy loan and requested that the Finance and Investment Committee receive a more detailed report at a future meeting and report back to the Audit Committee.

Following the approval of the revised Standing Financial Instructions and Standing Orders in September a further proposal to clarify discussions held at the Committee was agreed.

The Committee noted the Corporate Risk Register Report and the Board Assurance Framework.

The Committee received the standing item reports on external agency visits, waivers and losses and special payments and received reports from the Quality Assurance, Finance and Investment and Compliance and Risk Committees.

## **Recommendation**

The Board is asked to note the report.

**Joan Mulcahy, Chairman**