

Board Assurance Framework 2015/16

Trust Board	Item: 19
27th January 2015	Enclosure: O
Purpose of the Report: To provide the Trust Board with the Board Assurance Framework for 2015/16 for month 9.	
FOR: Information <input type="checkbox"/> Assurance <input checked="" type="checkbox"/> Discussion and input <input checked="" type="checkbox"/> Decision/approval <input type="checkbox"/>	
Sponsor (Executive Lead):	Susan Simpson, Company Secretary/ Head of Corporate Affairs
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Risk Implications – Link to Assurance Framework or Corporate Risk Register:	The report links key risks from the Corporate Risk Register to the delivery of the corporate objectives
Legal / Regulatory / Reputation Implications:	N/A
Link to Relevant CQC Domain: Safe <input checked="" type="checkbox"/> Effective <input checked="" type="checkbox"/> Caring <input checked="" type="checkbox"/> Responsive <input checked="" type="checkbox"/> Well Led <input checked="" type="checkbox"/>	
Link to Relevant Corporate Objective:	All
Document Previously Considered By:	Executive Team Members Compliance & Risk Committee
Recommendation & Action required: The Trust Board is asked to: a) Review the BAF for month 9 attached at appendix 1; and b) Consider if the BAF provides appropriate assurance, in that it identifies the risks, controls and assurance needed to allow for the achievement of the Trust's principal objectives.	

Board Assurance Framework 2015/16

1. The Corporate Objectives for 2015/16 were approved by the Board in January 2015. Strategic Objectives 1 and 4 were revised and approved by the Board in June 2015 along with further actions and milestones. The BAF is based on the June 2015 version.
2. Each Strategic Objective has a designated a responsible Executive lead as follows:

SO1 – Medical Director and Director of Nursing and Patient Experience

SO2 – Director of Workforce

SO3 – Director of Strategic Development

SO4 – Director of Finance

All Executive team members have reviewed their areas of responsibility for the Corporate Objectives in the preparation of this report.

3. At the Board's training session on the BAF on 29th July 2015, it was agreed to review the format of BAF reporting in preparation for 2016/17 in order to give greater focus on key strategic issues. As a step towards this revision, the format of this report is presented so that the summary for each strategic objective is shown in Appendix 1, and the exception reports that would previously have been presented as a separate narrative have been listed alongside the corporate objective to which they relate. At the meeting on 13 October 2015, the Board endorsed this approach as a step in the right direction for reporting. A full review of the reporting format will take place as the Corporate Objectives for 2016/17 are developed and the refreshed BAF format will be agreed by the Board prior to the start of the new financial year.
4. The detail on progress with each sub-objective is provided for information in Appendix 2.

Review of the BAF

5. Since the last Trust Board meeting a report on the BAF was received by the Audit Committee on 10th December 2015 and Compliance and Risk Committee on 21st January 2016.

Recommendation

6. The Trust Board is asked to:
 - 6.1. Review the BAF for month 9 attached at appendix 1; and
 - 6.2. Consider if the BAF provides appropriate assurance, in that it identifies the risks, controls and assurance needed to allow for the achievement of the Trust's principal objectives.